

A

PATENT

Docket No. IL-10091

Assistant Commissioner for Patents  
Washington, DC 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Inventor(s):

INVENTORS: Fred S. Miller and Brian D. Andresen

For (title): **SOLID PHASE MICROEXTRACTION DEVICE USING AEROGEL**

1. **Type of Application**

☒ This new application is for an original patent.

☐ This new application is a:

☐ Division

☐ Continuation

☐ Continuation-in-part (CIP)

2. **Benefit of Prior U.S. Application(s) (35 USC 120)**

☐ The new application being transmitted claims the benefit of prior U.S. application(s).

3. ☐ **Benefit under 35 U.S.C. 119(e) of United States provisional application(s) listed below:**

Application Serial No. \_\_\_\_\_

Filing Date \_\_\_\_\_

4. **Papers enclosed which are required for filing Date Under 37 CFR 1.53(b).**

12 Pages of specification

3 Pages of claims

1 Pages of abstract

2 Sheets of drawings

☒ formal

☐ informal

5. **Additional papers enclosed**

☐ Preliminary Amendment

☒ Information Disclosure Statement

☒ Form PTO-1449

☐ Special Comments

09/13/99  
jc682 U.S. PTO

jc675 U.S. PTO  
09/394159  
09/13/99

6. Declaration or oath

- ☒ Enclosed and executed by
- ☒ inventors
  - ☐ legal representative of inventor(s) 37 CFR 1.42 or 1.43
- ☐ Not Enclosed

7. Assignment

- ☒ An assignment of the invention to The Regents of the University of California.
- ☐ is attached
  - ☐ will follow

8. Certified Copy

Certified copy(ies) of application(s)

(country)	(application no.)	(filed)
(country)	(application no.)	(filed)

from which priority is claimed

- ☐ is(are) attached.
- ☐ will follow

9. Fee Calculation

CLAIMS AS FILED					
Type of Claim	Number Filed	Included in Basic Fee	Number Extra	Rate	Total Fee
Total Claims	20	-20 =		x \$18 =	\$ 000.00
Independent Claims	2	-3 =		x \$78 =	\$ 000.00
Multiple Claims				=	\$
Basic Filing Fee				=	\$ 760.00
Sub-Total				=	\$ 760.00
Small Entity Filing Fee				=	\$ 380.00

**10. Small Entity Statement(s)**

- ☒ Verified Statement that this is a filing by small entity under 37 CFR 1.9 and 1.27 is attached.

Filing Fee Calculation (50% of regular filing fee) \$ 380.00

**11. Fee Payment**

- ☐ Not Enclosed  
☒ Enclosed (See Account No. Below)

**Total Basic Filing Fees To Be Paid** \$ 380.00

**12. Method of Payment of Fees**

- ☐ Check in the Amount of \$ \_\_\_\_\_  
☒ Charge Account No. 12-0695 in the amount of \$ 380.00

**A duplicate of this transmittal is attached.**

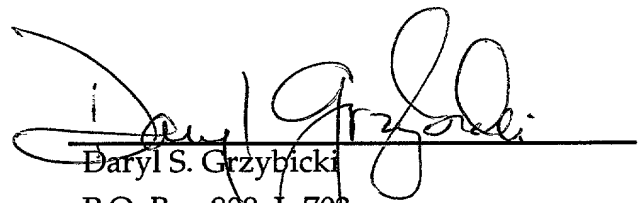
**13. Instructions As To Overpayment/Underpayment**

- ☒ credit/charge  
Account No. 12-0695  
☐ refund

Reg. No.: 36,349

Tel. No.: (925) 422-7274

Dated: August 23, 1999

  
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09/13/99  
1c682 U.S. PTO

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Fred S. Miller et al.                      Docket No. : IL-10091  
Serial No. :    Group No. :  
Filed :    Examiner :  
For : Solid Phase  
            Microextraction Device  
            Using Aerogel

Assistant Commissioner for Patents  
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EL264488025US

Date of Deposit 9-13-99

I hereby certify that the following *attached* paper or fee

Verified Small Entity Statement, New Application Transmittal,  
Application, Two (2) Sheets Formal Drawings, Combined Declaration & Power of  
Attorney, Patent Assignment, Recordation Cover Sheet, Information Disclosure  
Statement W/References and return postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to  
addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to  
the Assistant Commissioner for Patents, Box: Patent Application, Washington, D.C.  
20231.

Luz N. Hawkins

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Luz N. Hawkins

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant :	Fred S. Miller et al.	Docket No. :	IL-10091
Serial No. :		Art Unit :	
Filed :		Batch No. :	
For :	Solid Phase Microextraction Device Using Aerogel	Examiner :	

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS [37 CFR 1.9 (f) and 1.27(d)] - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

The Regents of the University of California  
1111 Franklin Street  
Oakland, CA 94607-5200

**TYPE OF ORGANIZATION**

  X   University or Other Institution of Higher Education

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled Solid Phase Microextraction Device Using Aerogel

by inventor(s) Fred S. Miller et al.

described in

  X   the specification filed herewith.

       application serial no. \_\_\_\_\_, filed \_\_\_\_\_.

       patent no. \_\_\_\_\_, issued \_\_\_\_\_.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention, except for a license to a Federal Agency pursuant to 35 USC 202(c) (4).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

X no such person, concern, or organization

I acknowledge the duty to file, in this application or patent, notification of any charge in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true: and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

JANET G. TULK  
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8/23/99  
Date

IL-10,091  
S-86,989

SOLID PHASE MICROEXTRACTION DEVICE USING AEROGEL

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## SOLID PHASE MICROEXTRACTION DEVICE USING AEROGEL

The United States Government has rights in this invention pursuant to Contract No. W-7405-ENG-48 between the United States Department of Energy and the University of California for the operation of Lawrence Livermore National Laboratory.

### 5 BACKGROUND OF THE INVENTION

#### Field of the Invention

This invention relates to xerogel and aerogel substrates as sample collection media, and more particularly to a solid phase microextraction device using these substrates.

#### 10 Description of Related Art

Solid Phase Microextraction (SPME) is an analytical chemical isolation technique to extract trace compounds from the air or liquid samples with high efficiency. The extraction device typically uses fused silica (glass) fibers coated with an absorbing polymer (e.g., siloxanes, silanes, silicone). Glass is used to  
15 match the thermal expansion of the fiber coating under extreme temperature conditions. The coated glass fiber is capable of extracting organic and organo-metallic compounds from the air and liquid samples. Currently, the coated glass fiber (~1 cm long) is glued to a metal wire (~300  $\mu\text{m}$ ) supported inside a



hollow needle that can be inserted into the hot injection port of a gas chromatograph (GC) or GC mass spectrometer (GC-MS). U.S. Patent No. 5,691,206 to Pawliszyn describes a method and device for carrying out solid phase microextraction.

5           This conventional technique is problematic because the glass fiber tip is fragile and can be easily broken off when used in the field or laboratory. The polymer coating has a limited lifetime and can peel away following repeated collections and analyses under the high thermal cycle stress at the GC injection port or after exposure to high field radiation. The manufacturing of  
10       the SPME assembly also requires the time-consuming process of gluing the glass fiber to the wire support. The engineering difficulties encountered in the current glass fiber design result in a high cost per unit.

          Another disadvantage of the current SPME techniques is the time required extracting the sample by the coated fibers. U.S. Patent No. 5,693,228  
15       to Koehler et al. provides a device that vibrates the fiber during extraction to shorten adsorption time. However, this approach requires additional hardware incorporated into the conventional SPME syringe.

          A need exists for an improved SPME assembly that addresses the deficiencies of the prior art. The present invention circumvents the above-  
20       mentioned problems and provides a more robust, highly selective, rapidly adsorbing SPME assembly that effectively extracts samples from gas or liquid samples.

#### SUMMARY OF THE INVENTION

          An object of the present invention is to provide a solid phase  
25       microextraction (SPME) device using aerogel and xerogel materials coated on a support structure, such as a stainless steel or kovar wire or glass fiber. It is

further an object to provide a device that can be manufactured easily and quickly absorb or adsorb sample fluids – i.e., gases and liquids. Another object is to provide a sample collection medium using aerogel and/or xerogel materials to absorb either a wide range of analytes or selectively target specific analytes; this selectivity can be accomplished by alteration of the sol-gel chemistry and processing techniques used to make the aerogels and xerogels. The present SPME device is robust and formed of materials that are commercially available, inexpensive, and stable under high field radiation and high thermal stress. The aerogel SPME device can easily tolerate the thermal cycling conditions in GC/MS without peeling or releasing interfering compounds.

The invention basically involves a SPME collection assembly comprising a support structure coated with organic and/or inorganic aerogels, xerogels, or combinations thereof. The support structure may be formed of stainless steel or kovar wire or a glass or high temperature plastic fiber. The stainless steel and kovar wire supports can be advantageous with silica substrates because their thermal expansion rates are comparable to glass. The aerogel and xerogel materials have extremely high porosity and surface area to absorb the targeted analytes and can be applied in various forms, including thin films and small particles. Inorganic and organic aerogels and xerogels can be doped with various metals and compounds to selectively adsorb specific analytes and confer other beneficial properties.

The support structure can be coated with the sample collection substrate by dipping the fiber or wire in a sol-gel solution and then drying the material to form a film or coating. The drying process determines whether the material is an aerogel or xerogel. Before the initial coating is completely dried, small

particles of aerogel may be applied to the wet coating and thereby cemented to the support structure to increase the sample collection surface area.

U.S. Patent Nos. 5,275,796, 5,409,683, and 5,686,031 describe the formation of aerogels and xerogels using sol-gel chemistry in which a precursor solution is gelled and then dried by removing the liquid from a two-phase liquid-solid network. Ultralightweight materials, called aerogels, are typically dried by extracting the liquid under supercritical conditions. Denser materials, called xerogels, are formed by evaporating the liquid under ambient conditions, which causes significant shrinkage of the solid network. In evaporative drying, the surface tension of the liquid in the small pores creates extremely high forces as the material dries, which tends to collapse the weak solid structure of the gel. The gels are typically not strong enough to resist this shrinkage during evaporation.

The present invention is useful in collecting airborne and liquid samples for environmental monitoring (e.g., pollutants), drug and contraband detection, and military applications (e.g., chemical and biological weapons detection and monitoring). The invention can be used to detect trace and ultratrace amounts (e.g., ppb, ppt levels) of volatile and semivolatile organic analytes such as pesticides, herbicides, polychlorinated biphenyl compounds (PCBs), and polynuclear aromatic hydrocarbon compounds (PAHs).

Other objects, features, and advantages of the present invention will become apparent from the following description and accompanying drawings.

#### BRIEF DESCRIPTION OF THE DRAWINGS

The accompanying drawings, which are incorporated into and form part of this disclosure, illustrate embodiments of the invention and together with the description, serve to explain the principles of the invention.

**Figure 1** shows a SPME device according to the present invention.

**Figure 2** shows a process for manufacturing SPME devices according to the present invention.

**Figure 3** shows a SPME device according to the present invention.

5 **Figure 4** shows a SPME device in a syringe.

### DETAILED DESCRIPTION OF THE INVENTION

The present invention is a solid phase microextraction (SPME) device or assembly using a support structure coated with a substrate formed of aerogel, xerogel, or combinations thereof. The xerogels and aerogels may be organic  
10 (i.e., carbon-based) or made from a variety of metal oxides (e.g., silica, tantalum, zirconia). The gel solution can be doped with various metals or other compounds, thereby incorporating them into the solid network or lattice of the substrate material. The support structure may be formed of glass fiber, a high temperature plastic, or a metal wire such as stainless steel or kovar. Kovar  
15 wire is index-matched to the thermal expansion of glass, which is advantageous when using silica-based xerogel and aerogel coating materials. Stainless steel also has a thermal expansion similar to glass and is more robust than kovar.

This invention allows many different types of SPME collection fibers to  
20 be designed that are tailored to specific collection schemes and to target certain chemical analytes. The SPME device according to the present invention is robust, stable in fields of high radiation, and capable of efficiently collecting the target species in the environment while maintaining superior mechanical and thermal stability during routine use. The aerogel/xerogel collection device  
25 is easier to manufacture than conventional silica fibers and can be made of any size and length for specific collection scenarios.

**Figure 1** shows a SPME collection device **10** according to the present invention. In this embodiment, a support structure **12** made of thin metal wire (e.g., 200-250 micron) is coated with a sample collection substrate **14** made of xerogel, aerogel, or a combination thereof, collectively referred to hereafter as “aerogel”. The substrate is formed of an inorganic and/or organic aerogel that may contain or be doped with one or more metals. A greater number of compounds can be collected with the aerogel SPME assembly than conventional systems, as the aerogel material can be specially designed to absorb a wide range of analytes or alternatively for specific analytes.

The wire support structure **12** is made of stainless steel or kovar, which is a group of alloys containing iron, nickel, cobalt, and manganese characterized by a low coefficient of expansion. The expansivity of kovar is similar to that of glass, and thus it is used in making metal-to-glass seals. The alloys are useful in applications where a temperature variation can be expected. A representative alloy composition is Fe 53.8%, Ni 29%, Co 17%, Mn 0.2%. Glass or high temperature plastic fiber can be substituted for the wire, but the use of stainless steel wire provides a more robust SPME assembly and wider field collection applications. To improve the adherence or bonding of the aerogel substrate **14** on the support structure **12** (or to reduce its diameter), the wire or glass fiber support structure may be etched with acid.

The composition of the aerogel collection material is bound by specific requirements. The collection medium must be capable of selectively absorbing or adsorbing an analytically sufficient amount of a selected analyte or analytes within a reasonable period of time and then retaining the analytes until analysis. For use in direct GC/MS analysis, the collection material must absorb the analytes and then release them when heated in the

GC/MS port. The aerogel substrate should not destroy or bind the analyte so that it cannot be released for analysis. The analyte is retained by the aerogel substrate by some form of chemical attraction; however, the substrate should not chemically convert the analyte (e.g., through catalysis) to another compound that will not accurately reflect the concentration of the analyte.

The collection medium must be capable of withstanding the GC/MS thermal release process without itself releasing species that would create an interfering spectrum. Aerogel has no negative effects from GC/MS thermal cycling on its release of absorbed constituents, so its thermal life is much greater than commercially available polymers. The thermal cycling can condition the aerogel tip and optimize the adsorption profile. Even if thermal expansion cracks the aerogel coating, the substrate is attached so securely to the wire or fiber that it does not peel or flake off. Aerogel has virtually no background signal for the GC/MS, unlike other polymeric tip coatings whose background signal can interfere with the detected compounds. The aerogel collection substrate is reusable: after the sample is desorbed in the GC/MS, the device can be used again to collect another sample. Alternatively, the SPME devices may be disposable due to the relatively low cost of materials and manufacturing.

The aerogel substrate may be designed to absorb a wide range of analytes, or a specific analyte or class of analytes. The ability to design both types of tips with a collection substrate that tolerates thermal cycling is advantageous. The requirement of chemical selectivity is important in certain applications, given the high sensitivity now obtained by analytical instrumentation, which may precludes the use of an arbitrary collection system (e.g., activated charcoal). Too many compounds in the collection

medium can interfere with each other, requiring timely extractions and chemical separations to isolate the specific analyte of interest. Selectivity in certain applications thus simplifies and hastens the analyses.

Xerogels and aerogels are uniquely qualified for extraction of samples  
5 due to their high surface area, high porosity, and open-cell microstructure. The time required to extract a sample may therefore be improved as a highly porous material has quicker uptake of analyte. The aerogel materials are prepared by sol-gel reactions and processed by drying the gel under various conditions. If the gel is dried under supercritical conditions, the gel avoids  
10 collapse of the cell structure due to surface tension. The microstructure of the aerogel resembles a three-dimensional network of interconnected beads of about 10-100 Å in size, with pore diameters formed by the bead structure of about 50-500 Å. The basic synthetic approach to create inorganic aerogels is the co-hydrolysis and condensation of a metal alkoxide with the matrix  
15 alkoxide. Metal oxide aerogels commonly include silica, titania, tantala, vanadia, zirconia, hafnia, niobia, and alumina.

A wide variety of aerogels can be formed by methods described in the literature, including U.S. Patent Nos. 5,275,796, 5,409,683, 5,686,031, and 5,395,805, all of which are hereby incorporated by reference. U.S. Patent No.  
20 5,851,947 to Hair et al. discusses the incorporation of noble metals into aerogels and is hereby incorporated by reference. Organic (carbon) aerogels are also well described in the literature, including U.S. Patent Nos. 4,873,218, 4,997,804, 5,081,163, 5,086,085, 5,420,168, 5,508,341, 5,476,878, 5,556,892, 5,908,896, and 5,744,510, all of which are hereby incorporated by reference.  
25 The manufacturing processes and composition of the aerogels can be varied to

modify the chemical, physical, and optical properties, including density, clarity (or opacity), index of refraction, surface area, pore size, and porosity.

The composition of aerogels is highly variable. Virtually any metal (transition metals, rare earths, alkaline earths, alkali metals) or combination of metals can be incorporated into aerogels: Fe, Co, Ni, Cr, Cu, Mo, Ta, W, Ru, Rh, Pd, Os, Ir, Pt, Cd, Ce, Pr, Au, Hg, Ag, Zn, Zr, Ti, Hf, Ni, Al, V, Mn, Sc, Mg, Na, K, Ca, Sr, and Ba. Metal salts are also used, such as silver fluoride, silver nitrate, nickel nitrate, copper nitrate, iron nitrate, cobalt sulfate. The selection of metal(s) incorporated into the aerogel matrix is determined by which specific compounds of interest are to be adsorbed.

Another important feature of aerogel materials is that they can be made in a variety of forms: powders, films, fibers, microspheres, sheets, or monoliths of any size. In the present invention, the preferred approach is to form a thin film or a layer of microspheres of aerogel or xerogel on the end of the support structure. **Figures 2A-2B** show an example of the manufacturing process for an aerogel SPME device. The support structure **20** is placed or suspended in a container **22** with the aerogel precursor solution **24** to coat the end **26** of the structure **20**. The coated fiber or wire **28** is then removed from solution and dried. The coated wire **28** may be dried in another vessel, or excess solution drained from the original container **22**. The substrate layer or coating is dried either by evaporation to form a xerogel SPME device, or by a drying procedure that forms an aerogel SPME device, such as supercritical extraction in a pressurized vessel. A post-treatment heating of the aerogel device may improve the adsorptive properties. The support structure can be coated one or more times with gel precursor solution, and may be coated using techniques other than dipping, such as rolling or spraying.



Monoliths of aerogel material can be manufactured and then ground into smaller particles, which are then used to coat the end of the support structure. A thin film of aerogel solution may be applied to the tip as described above, and then the tip is rolled in, dipped in, or sprayed with small aerogel particles **30** so as to adhere to the wet coating. As the film dries, the aerogel particles are “cemented” to the thin film, which may be a xerogel. The aerogel particles may be homogeneous or have a variety of sizes and compositions (e.g., a mixture of metal oxide aerogels and carbon aerogels). The use of a microsphere coating or a coating of ground particles is advantageous since the collective surface area of the spheres (e.g., 200-600Å diameter) is greater than a thin film, exposing active absorbent sites that aid in sample collection. The surface areas for aerogels are highly variable; silica aerogels, for example, typically range from 300-1200 m<sup>2</sup>/g.

The coated end **32** of the support structure **34** may be tapered or have a smaller diameter or thickness, as shown in **Figure 3**, to provide for a thicker layer **36** of aerogel without greatly increasing the diameter at the end. A support structure made of high temperature plastic may be molded to form such a shape. The original diameter of the support structure **34** (e.g., glass or wire) may be reduced by etching with acid before applying the substrate layer. The outer diameter of the support structure with the aerogel coating is limited by the interior diameter (bore size) of the hollow needle (described below) in which the SPME device is mounted.

**Figure 4** shows a SPME device **40** according to the present invention in a syringe **42** for carrying out solid phase microextraction with GC/MS. The SPME device **40** is a support structure **44** with an aerogel collection substrate **46** at the end. The device **40** is contained in a syringe **42**, which has a barrel

**50** and slidable plunger **52** that extends from one end of the barrel **50**. The plunger **52** has a handle **54** at the top. A hollow needle **56** extends from the other end of the barrel **50** opposite the plunger **52**. The needle **56** is connected to the barrel **50** by a connector **58**.

5       The SPME device **40** is mounted to the top of the plunger **52** and may be enclosed in a metal sleeve **60** to protect the device **40** from damage. The device **40** extends through the plunger **52** and the needle **56** and moves longitudinally as the plunger **52** slides within the barrel **50**. When the plunger **52** is depressed, the coated end of the device **40** extends beyond the  
10      end of the needle **56**, as shown. When the plunger **52** is withdrawn, the device **40** is retracted within the needle **56** to protect the device **40** from damage.

      To collect a sample, the needle **56** is typically inserted through a septum or membrane into a sample reservoir (e.g., a vial). The plunger **52** is  
15      depressed, exposing the device **40** to the sample. The aerogel coating **46** acts as an adsorbent to extract a sample. The tip is retracted after sample collection, and the needle **56** is withdrawn. To load the sample on a gas chromatograph for analysis, the needle **56** is inserted through the septum of the GC injection port and the device **40** is extended. While in the injector, the  
20      sample analytes are thermally desorbed from the aerogel substrate **46** and transferred to the GC column for analysis. U.S. Patent No. 5,691,206 to Pawliszyn describes a method and device for carrying out solid phase microextraction and is hereby incorporated by reference.

      Aerogel substrates were fabricated and tested. The substrates absorbed  
25      a variety of liquid and gaseous organic and inorganic compounds, including iodine vapor, acetonitrile, benzene, butyl ether, carbon tetrachloride, 1-

chloropropane, diethylamine, diisopropylamine, 2(diisopropyl)amino ethanol, diisopropylmethyl phosphate, dodecane, ethyl dichlorophosphate, diethyl chlorothiophosphate, 2-hexanone, iodobenzene, methyl chloroform, methyl iodide, 4-methyl-2-pentanone, nitrobenzene, nitromethane, 1-thiopropene, 2,2,-  
5 thiodiethanol, trimethyl phosphate, tributyl phosphate, kerosene, and m-xylene.

Silica aerogels with silver and silver/copper were effective with chloro compounds. Vanadium aerogels were effective with phosphate compounds. Many types of aerogel materials absorbed dodecane, including silica aerogels  
10 with copper, cobalt III, platinum IV, nickel, iron, sodium palladium, and niobium/nickel/cadmium. Cobalt and silver aerogels detected iodine compounds. Mixtures of organic and inorganic aerogels have proven successful for a wide variety of analytes; an illustrative example is a mixture of equal parts (1/4) of carbon aerogel and aerogels doped (2-3%) with titanium,  
15 magnesium, and iron, respectively.

The foregoing description of preferred embodiments of the invention is presented for purposes of illustration and description and is not intended to be exhaustive or to limit the invention to the precise form disclosed. Many modifications and variations are possible in light of the above teaching. The  
20 embodiments were chosen and described to best explain the principles of the invention and its practical application to thereby enable others skilled in the art to best use the invention in various embodiments and with various modifications suited to the particular use contemplated.

CLAIMS

1. A solid phase microextraction apparatus, comprising:  
a support structure; and  
a sample collection substrate on the support structure, wherein  
the substrate comprises a material selected from the group consisting of  
5 organic aerogels, inorganic aerogels, organic xerogels, inorganic xerogels,  
and combinations thereof.
2. The apparatus as recited in Claim 1, wherein the support structure is  
formed of a material selected from the group consisting of glass fibers,  
plastic fibers, stainless steel wire, and kovar wire.
3. The apparatus as recited in Claim 1, wherein the collection substrate  
comprises at least one thin film on the support structure.
4. The apparatus as recited in Claim 3, wherein the collection substrate  
comprises a plurality of layers on the support structure.
5. The apparatus as recited in Claim 1, wherein the collection substrate  
comprises particles.
6. The apparatus as recited in Claim 5, wherein the collection substrate  
comprises a mixture of particles having at least two chemical  
compositions.
7. The apparatus as recited in Claim 1, wherein the collection substrate  
comprises a thin film and particles.

8. The apparatus as recited in Claim 7, wherein the collection substrate comprises a thin film of xerogel on the support structure and aerogel particles on the thin film.
9. The apparatus as recited in Claim 1, wherein the collection substrate comprises a layer of microspheres on the support structure.
10. The apparatus as recited in Claim 1, wherein the collection substrate comprises an organic material and an inorganic material.
11. The apparatus as recited in Claim 1, wherein the collection substrate further comprises at least one metal dopant selected from the group consisting of transition metals, rare earth metals, alkaline earth metals, and alkali metals.
12. The apparatus as recited in Claim 1, wherein the support structure has two ends, one end containing the collection substrate and having a smaller outer diameter than the other end.
13. A method for forming a solid phase microextraction apparatus, comprising:

applying a layer of a gel precursor solution on at least the end of a support structure; and

- 5                   drying the layer to form a sample collection substrate, wherein the substrate comprises a material selected from the group consisting of organic aerogels, inorganic aerogels, organic xerogels, inorganic xerogels, and combinations thereof.

14. The method as recited in Claim 13, further comprising applying particles on the layer before drying the layer, wherein the particles comprise a material selected from the group consisting of organic aerogels, organic xerogels, inorganic aerogels, inorganic xerogels, and combinations thereof.
15. The method as recited in Claim 13, wherein drying the layer is selected from the group consisting of evaporative drying and supercritical extraction.
16. The method as recited in Claim 13, further comprising applying a plurality of layers of the gel precursor solution.
17. The method as recited in Claim 13, wherein applying the layer is carried out by a method selected from the group consisting of dipping, rolling, and spraying.
18. The method as recited in Claim 13, wherein the layer comprises xerogel and further comprising applying particles of aerogel on the layer before drying the layer.
19. The method as recited in Claim 13, further comprising etching at least the end of the support structure before applying the layer.
20. The method as recited in Claim 13, further comprising heating the sample collection substrate on the support structure after drying to condition the substrate.

SOLID PHASE MICROEXTRACTION DEVICE USING AEROGEL

ABSTRACT OF THE DISCLOSURE

A sample collection substrate of aerogel and/or xerogel materials bound to a support structure is used as a solid phase microextraction (SPME) device. The xerogels and aerogels may be organic or inorganic and doped with metals or other compounds to target specific chemical analytes. The support structure is typically formed of a glass fiber or a metal wire (stainless steel or kovar). The devices are made by applying gel solution to the support structures and drying the solution to form aerogel or xerogel. Aerogel particles may be attached to the wet layer before drying to increase sample collection surface area. These devices are robust, stable in fields of high radiation, and highly effective at collecting gas and liquid samples while maintaining superior mechanical and thermal stability during routine use. Aerogel SPME devices are advantageous for use in GC/MS analyses due to their lack of interfering background and tolerance of GC thermal cycling.

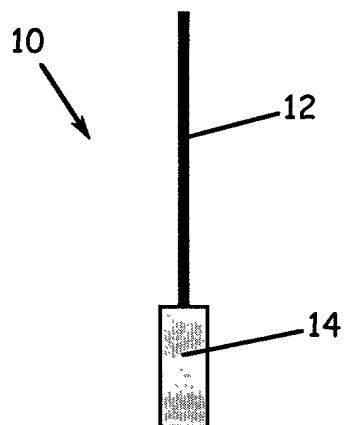


Figure 1

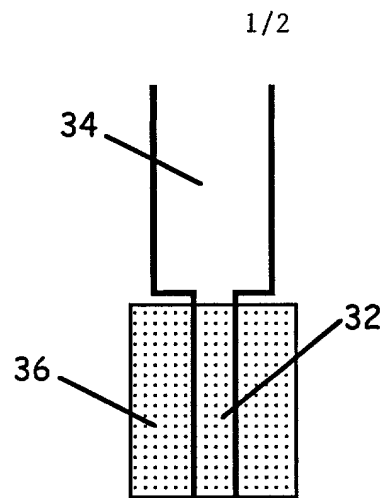


Figure 3

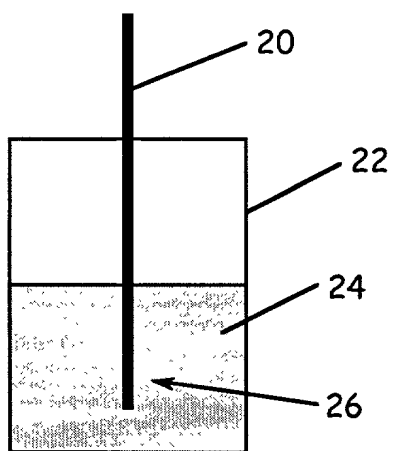


Figure 2A

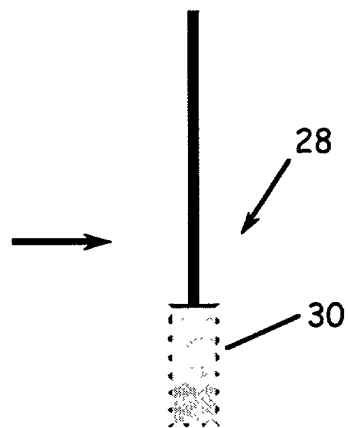
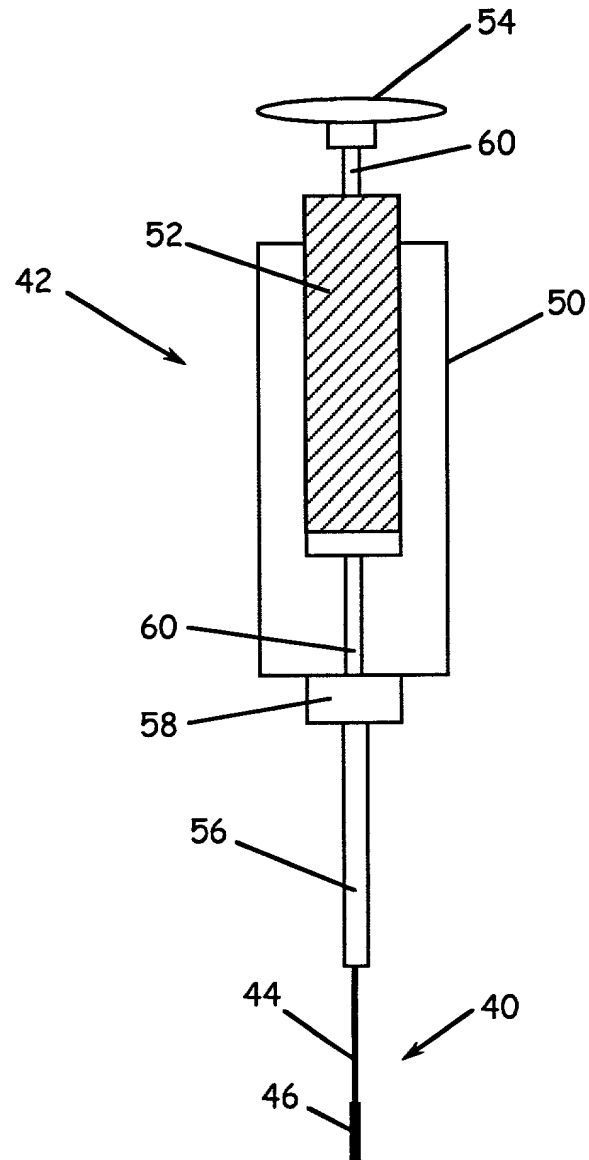


Figure 2B



**Figure 4**

# COMBINED DECLARATION AND POWER OF ATTORNEY

- ☒ Declaration submitted  
with Initial Filing
- ☐ Declaration submitted  
after Initial Filing (surcharge  
(37 CFR 1.16(e)) required)

Attorney Docket: IL-10091  
Applicant:  
Serial No.:  
Filing Date:

As a below named inventor(s), I (we) hereby declare that:

My (Our) residence, post office address and citizenship(s) are as stated below next to my (our) name(s).

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: \_\_\_\_\_

Solid Phase Microextraction Device Using Aerogel

the specification of which (check one)

X is attached hereto \_\_\_\_\_ was filed on \_\_\_\_\_ as United States Application Number or PCT International Application Number \_\_\_\_\_

and was amended on \_\_\_\_\_ (if applicable).

I (We) hereby state that I (we) have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I (We) acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I (We) hereby claim foreign priority benefits under 35, U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

\_\_\_\_\_  
(Application Number) (Country) (Foreign Filing Date)



\_\_\_\_\_  
(Application Number) (Country) (Foreign Filing Date)



I (We) hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below:

\_\_\_\_\_  
Application Serial No. Filing Date

\_\_\_\_\_  
Application Serial No. Filing Date

I (We) hereby claim the benefit under 35 U.S.C. 120 of any United States applications(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.


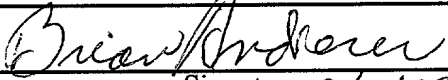
Application Serial No.	Filing Date	Status
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**POWER OF ATTORNEY:** As the named inventor(s), I (we) hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Names	Registration No.
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John P. Wooldridge	38,725

<u>Direct Correspondence To:</u>	<u>Direct Telephone Calls To:</u> (Name and Telephone Numbers)
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I (We) hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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